

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF VIRGINIA
Norfolk Division

UNITED STATES OF AMERICA)	
)	
v.)	Criminal No. 2:19-cr-47
)	
DAVID ALCORN)	
)	
and)	
)	
AGHEE WILLIAM SMITH II,)	
)	
Defendants.)	

GOVERNMENT EXHIBIT LIST

<i>No.</i>	<i>Description of Exhibit</i>	<i>Admitted</i>
1	FINRA Order (Daryl Bank)	Witness: P. Melley Date: 2/4/22 Admitted: yes
1J	United States District Court / District of Arizona Case No. 2:15cv609-SMM Consent of Defendant Daryl G. Bank	Witness: R. Gibson Date: 2/9/22 Admitted: yes
2	FINRA CRD (Daryl Bank)	Witness: P. Melley Date: 2/4/22 Admitted: yes
3	FINRA CRD (Bill Smith)	Witness: P. Melley Date: 2/4/22 Admitted: yes
4	FINRA CRD (Kent Maerki)	Witness: P. Melley Date: 2/4/22 Admitted: yes
4A	FINRA CRD (David Alcorn)	Witness: P. Melley Date: 2/4/22 Admitted: yes
5	SEC – Order of Permanent Injunction Against Kent Maerki	Witness: P. Melley Date: 2/4/22 Admitted: yes
6	FTC – Kent Maerki Stipulation and Consent Decree & Permanent Injunction	Witness: P. Melley Date: 2/4/22 Admitted: yes
7	Maerki email to Sunshine re: Companies – March 23, 2013	Witness: S. Grisson Date: 2/7/22 Admitted: yes

8	Alcorn Recording: Monday Morning Sales Force Meeting – Daryl Bank (Disk)	Witness: Date: Admitted
10	Independent Trust Consultant Agreement – Thomas Barnett	Witness: Date: Admitted
12	DPCG’s Investment Offering for DSPF Group, LLC	Witness: Date: Admitted
13	L. Zenke Check to Summit Trust Company	Witness: Date: Admitted
14	Summary Chart for L. Zenke’s DSPF Group purchase	Witness: Date: Admitted
15	Wire Transfer Record dated December 19, 2013 to DSPF Group, LLC’s account at BayPort Credit Union	Witness:D. Brown Date: 2/3/22 Admitted: yes
16	Summary Chart for L. Zenke’s Spectrum 100 LLC purchase	Witness: Date: Admitted
17	Wire Transfer Record dated December 19, 2013 to Spectrum 100, LLC’s account to BayPort Credit Union	Witness:D. Brown Date: 2/3/22 Admitted: yes
18	Summary Chart for L. Zenke’s WeMonitor purchase	Witness: Date: Admitted
20	Email from Gibson to L. Zenke, Moss re: Maerki Memorandum “shelving” DSPF – December 1, 2014	Witness: Date: Admitted
21	Summit Trust Account statements for L. Zenke	Witness: Date: Admitted
22	Lucille Zenke – Dominion Investor Packet	Witness: Date: Admitted
23	Summit Trust Application Ruth Clark	Witness: Date: Admitted
24	Independent Consultant Agreement – Tony Sellers	Witness: Date: Admitted
25	Email from Gibson to Sellers with attachments – February 11, 2014	Witness: Date: Admitted
26	Summit Trust Documents – Maryanne Berrett	Witness: Date: Admitted
27	First page and signature page of Prime Spectrum Operating Agreement – Maryanne Berrett	Witness: Date: Admitted
28	Summary Chart – Clark/Berrett Investment	Witness: A. Martell Date: 2/10/22 Admitted: yes

29	BayPort Credit Union Wire Transfer Record – May 7, 2014	Witness: D. Brown Date: 2/3/22 Admitted: yes
30	Commission Check to Tony Sellers	Witness: T. Sellers Date: 2/15/22 Admitted: yes
31	Summit Trust Statements – Bernell and Ruth Clark	Witness: Date: Admitted
32	Prime Spectrum Operating Agreement	Witness: Date: Admitted
33	Ruth Clark Check and Mailing	Witness: R. Clark (vid dep) Date: 2/3/22 Admitted: yes
34	Sellers email to Bank dated February 25, 2017	Witness: Date: Admitted
35	Moss to Bank – March 8, 2017	Witness: Date: Admitted
36	Maryanne Berrett Summit Trust Statements – SMA-000244	Witness: Date: Admitted
37	Dental Support Plus Franchise Advertisement	Witness: K. Gerek Date: 2/3/22 Admitted: yes
38	Gibson to Hudspeth re: Gerek Update – October 24, 2012	Witness: Date: Admitted
39	DSPF Transmittal Form for K. Gerek – November 2, 2012	Witness: K. Gerek Date: 2/3/22 Admitted: yes
40	Gerek SunTrust DSPF Check – November 2, 2012	Witness: K. Gerek Date: 2/3/22 Admitted: yes
41	DSPF GEREK, LLC BayPort Credit Union Account Ending In 0048	Witness: D. Brown Date: 2/3/22 Admitted: yes
42	DSPF Franchise Agreement – November 22, 2012	Witness: K. Gerek Date: 2/3/22 Admitted: yes
43	DSPF Area Development Agreement – November 22, 2012	Witness: K. Gerek Date: 2/3/22 Admitted: yes
44	DSPF Letter – April 22, 2013	Witness: K. Gerek Date: 2/3/22 Admitted: yes
45	DSPF Maerki Letter – May 1, 2013	Witness: K. Gerek Date: 2/3/22 Admitted: yes
46	DSPF Letter to Gerek – August 29, 2013	Witness: K. Gerek Date: 2/3/22 Admitted: yes

47	DSPF Letter to Gerek – September 13, 2013	Witness: K. Gerek Date: 2/3/22 Admitted: yes
48	DSPF Letter to Gerek – February 5, 2014	Witness: K. Gerek Date: 2/3/22 Admitted: yes
49	Email from Fontanez to Gerek – February 10, 2015	Witness: K. Gerek Date: 2/3/22 Admitted: yes
50	Email from Gerek to Hudspeth, Gibson, and Bank – April 14, 2015	Witness: Date: Admitted
51	Summary Chart – Gerek’s purchase of Janus Spectrum	Witness: Date: Admitted
52	Email string between Gerek, Hudspeth and Bank – May 2015	Witness: K. Gerek Date: 2/3/22 Admitted: yes
53	Email from Hudspeth to Gerek – April 27, 2015	Witness: Date: Admitted
54	Email string between Bank and Gerek – September 2015 – August 2017	Witness: K. Gerek Date: 2/3/22 Admitted: yes
55	DSPF Group, LLC Operating Agreement	Witness: Date: Admitted
56	Gibson’s DSPF Group, LLC Spreadsheets	Witness: R. Gibson Date: 2/9/22 Admitted: yes
57	Gibson Money Move Sheet – DSPF Group, LLC	Witness: R. Gibson Date: 2/9/22 Admitted: yes
58	Spectrum 100 LLC Operating Agreement	Witness: Date: Admitted
59	Gibson’s Spectrum 100, LLC Spreadsheets	Witness: R. Gibson Date: 2/9/22 Admitted: yes
60	Summary Charts – Summary of Deposits into Daryl Bank’s Wells Fargo Bank Account Ending In 7355	Witness: A. Martell Date: 2/10/22 Admitted: yes
61	Daryl Bank’s Wells Fargo Bank Account Ending In 7355	Witness: R. Gibson Date: 2/9/22 Admitted: yes
62	MidFlorida Credit Union Excel Bandwidth, LLC Bank Accounts Ending In 1973 and 1931	Witness: R. Gibson Date: 2/9/22 Admitted: yes
63	Generations Federal Credit Union Xcel Bandwidth, LLC Bank Account Ending In 6709	Witness: R. Gibson Date: 2/9/22 Admitted: yes
64	Dominion Investment Group, LLC Virginia State Corporation Commission Creation Documents	Witness: R. Gibson Date: 2/8/22 Admitted: yes

65	Dominion Investment Group, LLC BayPort Credit Union Account Application and Signature Card For Account Ending In 9056	Witness:D. Brown Date: 2/3/22 Admitted: yes
66	Dominion Investment Group, LLC Summary Chart – BayPort Credit Bank Account Ending In 9056	Witness: Date: Admitted
67	Dominion Investment Group, LLC – BayPort Credit Bank Account Ending In 9056	Witness:D. Brown Date: 2/3/22 Admitted: yes
68	Dominion Financial LLC DBA Dominion Investment Group – Oculina Bank Account Ending In 3348	Witness:R. Gibson Date: 2/9/22 Admitted: yes
69	Dominion Private Client Group, LLC Virginia State Corporation Commission Creation Documents	Witness: R. Gibson Date: 2/8/22 Admitted: yes
70	Dominion Private Client Group, LLC BayPort Credit Union Account Application and Signature Cards Account Ending In 8622	Witness:D. Brown Date: 2/3/22 Admitted: yes
71	Dominion Private Client Group Summary Chart – BayPort Credit Union Account Ending In 8622	Witness: Date: Admitted
72	Dominion Private Client Group, LLC – BayPort Credit Union Account Ending In 8622	Witness:D. Brown Date: 2/3/22 Admitted: yes
73	Dominion Private Client Group – Sales Conference 2014	Witness: R. Gibson Date: 2/8/22 Admitted: yes
74	Dominion Private Client Group, LLC – Oculina Bank Account Ending In 3011	Witness:R. Gibson Date: 2/9/22 Admitted: yes
75	Summary Chart – Oculina Bank Account Ending In 3011	Witness: Date: Admitted
76	Dominion Franchise Group, LLC Virginia State Corporation Commission Creation Documents	Witness: R. Gibson Date: 2/8/22 Admitted: yes
77	Dominion Franchise Group, LLC BayPort Credit Union Account Application and Signature Card – Account Ending In 9806	Witness:D. Brown Date: 2/3/22 Admitted: yes
78	Dominion Franchise Group, LLC – BayPort Credit Union Account Statements Ending In 9806	Witness:D. Brown Date: 2/3/22 Admitted: yes
79	Dominion Franchise Group Checks	Witness: Date: Admitted
80	Dominion Diversified Strategies, LLC Virginia State Corporation Commission Creation Documents	Witness:R. Gibson Date: 2/9/22 Admitted: yes
81	Dominion Diversified Strategies, LLC BayPort Credit Union Account Application and Signature Card	Witness:D. Brown Date: 2/3/22 Admitted: yes

82	Dominion Diversified Strategies, LLC – Summary Chart BayPort Account Ending In 5984	Witness: Date: Admitted
83	Dominion Diversified Strategies, LLC – BayPort Account Ending In 5984	Witness:D. Brown Date: 2/3/22 Admitted: yes
84	Dominion Diversified Strategies, LLC – Oculina Bank Account Ending In 3178	Witness:R. Gibson Date: 2/9/22 Admitted: yes
85	Dominion Diversified Strategies, LLC Summary Chart and Underlying Spreadsheet	Witness: Date: Admitted
86	FAS Partners, LLC Florida Department of State, Division of Corporations Creation Documents	Witness:R. Gibson Date: 2/9/22 Admitted: yes
87	Sovereign Asset Group, LLC Florida State Corporation Commission Creation Documents	Witness:R. Gibson Date: 2/9/22 Admitted: yes
88	Sovereign Asset Group, LLC – Oculina Bank Account Ending In 4808	Witness:R. Gibson Date: 2/9/22 Admitted: yes
89	DOM Consulting Services, LLC – Oculina Bank Account Ending In 5979	Witness:R. Gibson Date: 2/9/22 Admitted: yes
90	DOM Business Brokers, LLC – Oculina Bank Account Ending In 6932	Witness:R. Gibson Date: 2/9/22 Admitted: yes
91	BayPort Credit Union – List of Bank Accounts	Witness: D. Brown Date: 2/3/22 Admitted: yes
92	Oculina Bank – List of Bank Accounts	Witness: Date: Admitted
93	Close Account Authorization July 14, 2017	Witness:R. Gibson Date: 2/9/22 Admitted: yes
94	Gibson Plea Agreement	Witness: R. Gibson Date: 2/8/22 Admitted: yes
95	Sellers Plea Agreement	Witness: T. Sellers Date: 2/15/22 Admitted: yes
97A	Series 7 Study Guide	Witness: P. Melley Date: 2/4/22 Admitted: yes
97B	Series 22 Study Guide	Witness: P. Melley Date: 2/4/22 Admitted: yes
97C	Series 63 Study Guide	Witness: P. Melley Date: 2/4/22 Admitted: yes
97D	A.W. Smith FINRA Licensing Overview	Witness: P. Melley Date:2/4/22 Admitted: M4ID

200	Dominion Franchise DSPF Advertisement	Witness: A. Rangel Date: 2/4/22 Admitted: yes
200A	Dental Support Plus Franchise, LLC – Arizona Documents	Witness: G. Clapper Date: 2/7/22 Admitted: yes
200A-1	Dental Support Plus Franchise, LLC – Nevada Secretary of State Documents	Witness: G. Clapper Date: 2/7/22 Admitted: yes
200B	Dental Support Group, LLC – Nevada Documents	Witness: G. Clapper Date: 2/7/22 Admitted: yes
200C	Dental Support Plus Revolutionizing Dentistry	Witness: S. Grisson Date: 2/7/22 Admitted: yes
200D	Dental Support Plus Franchise – Frequently Asked Questions	Witness: Date: Admitted
200E	DSPF Transaction Report	Witness: R. Gibson Date: 2/9/22 Admitted: yes
200F	DSPF Sales Tracker	Witness: S. Grisson Date: 2/7/22 Admitted: yes
200G	DSPF Website	Witness: G. Clapper Date: 2/7/22 Admitted: yes
200H	DSPF Email Sequence	Witness: S. Grisson Date: 2/7/22 Admitted: yes
200I	DSPF 30 Second Video (Disk)	Witness: G. Clapper Date: 2/7/22 Admitted: yes
200M	DSPF Revolutionizing Dentistry – \$30,000 Franchise	Witness: G. Clapper Date: 2/7/22 Admitted: yes
200N	Bill Smith DSPF Sales Agreement	Witness: S. Grisson Date: 2/7/22 Admitted: yes
200O	Thomas Barnett DSPF Sales Agreement	Witness: S. Grisson Date: 2/7/22 Admitted: yes
200Q	Tony Sellers DSPF Sales Agreement	Witness: T. Sellers Date: 2/15/22 Admitted: yes
200R	Daryl Bank DSPF Sales Agreement	Witness: R. Gibson Date: 2/9/22 Admitted: yes
200S	DSPF Memorandum – September 10, 2012	Witness: S. Grisson Date: 2/7/22 Admitted: yes
201	Kent Maerki & Daryl Bank Radio Show (Disk)	Witness: Date: Admitted

202	DSPF Advertisement	Witness: G. Clapper Date: 2/7/22 Admitted: yes
203	DSPF Virginia Franchise Disclosure Document	Witness: T. Walsh Date: 2/3/22 Admitted: yes
204	DSPF Franchise Agreement	Witness: R. Gibson Date: 2/9/22 Admitted: yes
205	DSPF Area Development Agreement	Witness: R. Gibson Date: 2/9/22 Admitted: yes
206	Gibson's DSPF Spreadsheet	Witness: R. Gibson Date: 2/9/22 Admitted: yes
210	DSPF Walsh, LLC Bayport Credit Union Bank Account	Witness: D. Brown Date: 2/3/22 Admitted: yes
211	Barbara Russell, LLC Bayport Credit Union Bank Account	Witness: D. Brown Date: 2/3/22 Admitted: yes
212	DSPF Houston LLC Bayport Credit Union Bank Account	Witness: D. Brown Date: 2/3/22 Admitted: yes
213	DSPF DBunn LLC Bayport Credit Union Bank Account	Witness: D. Brown Date: 2/3/22 Admitted: yes
214	DSPF ARangel LLC Bayport Credit Union Bank Account	Witness: D. Brown Date: 2/3/22 Admitted: yes
215	DSPF TAustin LLC Bayport Credit Union Bank Account	Witness: D. Brown Date: 2/3/22 Admitted: yes
216	DSPF M. Gray LLC Bayport Credit Union Bank Account	Witness: D. Brown Date: 2/3/22 Admitted: yes
217	DSPF H. Gray LLC Bayport Credit Union Bank Account	Witness: D. Brown Date: 2/3/22 Admitted: yes
218	Teaching And Learning For All Bayport Credit Union Bank Account	Witness: D. Brown Date: 2/3/22 Admitted: yes
219	Peter and Margo Perry LLC Bayport Credit Union Bank Account	Witness: D. Brown Date: 2/3/22 Admitted: yes
220	BayPort – Denise Owen Email String With Gibson and Bank – May 2013	Witness: D. Brown Date: 2/3/22 Admitted: yes
221	BayPort – Denise Owen Memorandum Re: DSPF Accounts	Witness: D. Brown Date: 2/3/22 Admitted: yes
221A	Arizona State Corporation Commission subpoenas to Norma Coffin and others	Witness: G. Clapper Date: 2/7/22 Admitted: yes

223	Gibson Email to Binetti Re: DSP's 6 Year Track Record – July 19, 2012	Witness: R. Gibson Date: 2/9/22 Admitted: yes
224	Gibson Email to Sellers re: DSP's 9 Year Track Record – September 14, 2012	Witness: R. Gibson Date: 2/9/22 Admitted: yes
224A	July 11, 2013 Examination Transcript – Kent Maerki	Witness: Date: Admitted
224B	July 23, 2013 Examination Transcript – Norma Jean Coffin	Witness: Date: Admitted
224C	Arizona State Corporation Commission – Notice of Opportunity for Hearing for Cease and Desist – 11-18-2013	Witness: G. Clapper Date: 2/7/22 Admitted: yes
225	DSPF Group, LLC – Virginia State Corporation Commission Creation Documents	Witness: R. Gibson Date: 2/9/22 Admitted: yes
226	DSPF Group, LLC Bayport Credit Union Bank Account Application and Signature Cards	Witness: D. Brown Date: 2/3/22 Admitted: yes
227	DSP Management, LLC – Virginia State Corporation Commission Creation Documents	Witness: R. Gibson Date: 2/9/22 Admitted: yes
228	DSP Management, LLC – Bayport Credit Union Bank Account Application and Signature Cards	Witness: D. Brown Date: 2/3/22 Admitted: yes
231	DSPF Group, LLC Investment Offering	Witness: R. Gibson Date: 2/9/22 Admitted: yes
235	Recording: Bank About DSPF Group, LLC – November 10, 2012 (Disk)	Witness: R. Gibson Date: 2/9/22 Admitted: yes
236A	Unstoppable on Purpose – DSPF Presentation November 2012	Witness: S. Grisson Date: 2/7/22 Admitted: yes
239	Recording: Conference Call with salesmen about DSPF – October 21, 2013 (Maerki & Bank) (Disk)	Witness: R. Gibson Date: 2/9/22 Admitted: yes
242	Recording: Maerki Call with Andrea Tottosy and Joe Tottosy – November 2013	Witness: A. Tottosy Date: 2/3/22 Admitted: yes
243	Recording: Conference call with sales people – November 18, 2013 (Maerki & Bank) (Disk)	Witness: S. Grisson Date: 2/7/22 Admitted: yes
246	Catrina Davis Email String With Bank, Gibson, & Sellers – March 2013	Witness: T. Sellers Date: 2/15/22 Admitted: yes
249	DSPF Group Advertisement	Witness: D. Barry Date: 2/4/22 Admitted: yes
250	DSPF Group, LLC – Summary Chart Binder	Witness: A. Martell Date: 2/10/22 Admitted: yes

250A	Summary Chart DSPF Group, LLC – Bayport Credit Union Account Ending In 9219	Witness: A. Martell Date: 2/10/22 Admitted: yes
250B	DSPF Group, LLC – Bayport Federal Credit Union Account Ending In 9219	Witness:D. Brown Date: 2/3/22 Admitted: yes
251A	Summary Chart DSP Management, LLC Bayport Credit Union Account Ending In 9359	Witness: Date: Admitted
251B	DSP Management, LLC – Bayport Credit Union Account Ending In 9359	Witness:D. Brown Date: 2/3/22 Admitted: yes
265	Wire Transfer from DSPF Group, LLC to Tony Sellers	Witness:D. Brown Date: 2/3/22 Admitted: yes
266	Tony Sellers Asset Purchase Agreement – Dental Support Plus Franchise – September 24, 2013	Witness:R. Gibson Date: 2/9/22 Admitted: yes
267	Tony Sellers Bill of Sale – Dental Support Plus Franchise – September 24, 2013	Witness: T. Sellers Date: 2/15/22 Admitted: yes
268	Email between Maerki, Barnett, Bank, Sellers re: Rick Fernandes – 10/18/13	Witness:R. Gibson Date: 2/9/22 Admitted: yes
268A	Email between Hudspeth and Tottossy – February 5, 2014	Witness: A. Tottossy Date: 2/3/22 Admitted: yes
270A	Email with Gibson and Bank – July 3, 2014	Witness:R. Gibson Date: 2/9/22 Admitted: yes
270B	Email between Maerki and Bank re: Windup of DSPF – July 4, 2014	Witness:R. Gibson Date: 2/9/22 Admitted: yes
270E	Email from Maerki to Bank & Alcorn – July 5, 2014	Witness:R. Gibson Date: 2/9/22 Admitted: yes
271	Email from Maerki to Hudspeth to DSPF Salespersons – July 5, 2014	Witness: Date: Admitted
272	Email from Maerki to Bank & Alcorn – 7/5/2014	Witness:R. Gibson Date: 2/9/22 Admitted: yes
273	Email from Maerki to Bank – 7-12-14	Witness: Date: Admitted
274	Letter From Maerki – August 8, 2014	Witness:R. Gibson Date: 2/9/22 Admitted: yes
278	Gibson email to Revzon re: Asset Value – December 2, 2014	Witness:R. Gibson Date: 2/9/22 Admitted: yes
281	Debra Barry – Summit Trust Statements – DSP-000003	Witness:R. Gibson Date: 2/9/22 Admitted: yes

285	Summary Chart – Debra Barry’s DSPF Group, LLC flow chart	Witness: A. Martell Date: 2/10/22 Admitted: yes
286	Arizona State Corporation Commission Examination – Aghee Bill Smith Testimony – 7/22/2015	Witness: G. Clapper Date: 2/7/22 Admitted: yes
287	Gibson Spreadsheet of Dental Support Plus Franchise Units Sold – Tom Barnett	Witness: Date: Admitted
288	DSPF Uniform Franchise Disclosure Document	Witness: R. Gibson Date: 2/9/22 Admitted: yes
300	Alcorn and Maerki emails – 11/24/2010	Witness: S. Grisson Date: 2/7/22 Admitted: yes
300A	Alcorn email re: followup – 12/15/10	Witness: S. Grisson Date: 2/7/22 Admitted: yes
300A-1	Email from Tina Ellis to Alcorn re: Contact info – 8/5/2011	Witness: S. Grisson Date: 2/7/22 Admitted: yes
300B	Alcorn email to Maerki re: Janus Funding – 8/15/2011	Witness: S. Grisson Date: 2/7/22 Admitted: yes
300C	Janus Spectrum, LLC Formation Documents – New Mexico	Witness: A. Martell Date: 2/10/22 Admitted: yes
300D	Janus Spectrum, LLC – Registration Documents Arizona Office of the Corporation Commission	Witness: A. Martell Date: 2/10/22 Admitted: yes
300E	Janus Spectrum, LLC – Operating Agreement	Witness: R. Gibson Date: 2/8/22 Admitted: yes
300E-1	Audio Recording: Maerki and Alcorn Introducing Janus Spectrum to Salesmen (Disk)	Witness: R. Gibson Date: 2/8/22 Admitted: yes
300F	10-Minute Spectrum Overview Video (Disk)	Witness: R. Gibson Date: 2/8/22 Admitted: yes
300G	Alcorn email to Daryl Bank re: Next Check for You – 3/30/2013	Witness: Date: Admitted
300H	Economic Market Area Projections	Witness: G. Cushman Date: 2/10/22 Admitted: yes
300I	Application Fee Cost Breakdown	Witness: Date: Admitted
300J	Emails between Alcorn and Moncure re: Peter Moncure – 2/2/2012	Witness: Date: Admitted
300K	Emails between Dodson and Alcorn – 2/14/2012	Witness: J. Palmieri Date: 2/16/22 Admitted: yes

300L	Email from Ellis to Maerki & Jones re: Smartcomm – 3/5/2012	Witness: R. Jones Date: 2/16/22 Admitted: yes
300M	Email between Dodson and Alcorn – 3/19/2012	Witness: J. Palmieri Date: 2/16/22 Admitted: yes
300N	Engagement letter with Tilles – 12/14/11	Witness: Date: Admitted
300O	Emails between Alcorn and Tilles – 3/20/12	Witness: A. Baskin Date: 2/14/22 Admitted: yes
300P	Email between Alcorn and Tilles – 3/26/12	Witness: A. Baskin Date: 2/14/22 Admitted: yes
300Q	Email between Alcorn and Tilles – 3/26/12	Witness: A. Baskin Date: 2/14/22 Admitted: yes
300R	Emails between Chadwick and Alcorn – 5/1/2012	Witness: Date: Admitted
300S	Emails between Alcorn, Maerki & Dodson re: spectrum – 5/3/2012	Witness: Date: Admitted
300T	Email between Alcorn and Forrest re: Janus Invoices – 6/14/12	Witness: T. Forest Date: 2/17/22 Admitted: yes
300U	Tusa Consulting Services – Invoice	Witness: Date: Admitted
300V	Emails between Bank and Alcorn re: Janus Spectrum – 7/1/2012	Witness: R. Gibson Date: 2/8/22 Admitted: yes
300W	Articles of Organization Janus Spectrum Group, LLC – 7/19/2012	Witness: R. Gibson Date: 2/8/22 Admitted: yes
300X	Alcorn email with Labine re: ProForma – 7/20/2012	Witness: S. Grisson Date: 2/7/22 Admitted: yes
300Y	Maerki & Alcorn emails re: conference call – 9/25/2012	Witness: S. Grisson Date: 2/7/22 Admitted: yes
300Z	Email between Alcorn, Maerki & Palmieri re: conference call – 9/26/2012	Witness: S. Grisson Date: 2/7/22 Admitted: yes
301	Alcorn email to Bank & Gibson re: Potential Spectrum Prospects – December 6, 2012	Witness: R. Gibson Date: 2/8/22 Admitted: yes
301A	Alcorn email to Bank and Gibson re: Marketing Assistance – 2/18/2013	Witness: R. Gibson Date: 2/8/22 Admitted: yes
301B	Janus Spectrum Group, LLC Bayport Credit Union Bank Account Application and Signature Cards	Witness: D. Brown Date: 2/3/22 Admitted: yes

302	Spectrum Management, LLC Virginia State Corporation Commission Creation Documents	Witness: R. Gibson Date: 2/8/22 Admitted: yes
303	Spectrum Management LLC Bayport Credit Union Bank Account Application and Signature Cards	Witness: D. Brown Date: 2/3/22 Admitted: yes
304	Janus Spectrum Investment Offering	Witness: K. Gerek Date: 2/3/22 Admitted: yes
305	Janus Spectrum Opportunity Alert	Witness: K. Gerek Date: 2/3/22 Admitted: yes
308	Video: Money From Thin Air Video Presentation (Disk)	Witness: S. Newell Date: 2/7/22 Admitted: yes
309	Audio Recording: Daryl Bank and David Alcorn's Janus Radio Show (Disk)	Witness: R. Gibson Date: 2/8/22 Admitted: yes
309A	Audio Recording: Daryl Bank and David Alcorn's Janus Radio Show (Disk)	Witness: R. Gibson Date: 2/8/22 Admitted: yes
310	Dominion Sales Associate Agreement – July 2, 2012	Witness: R. Gibson Date: 2/8/22 Admitted: yes
311	Janus Spectrum Group, LLC Packet to Janus Spectrum – 11-21-12	Witness: R. Gibson Date: 2/8/22 Admitted: yes
311A	Janus Spectrum Group, LLC Packet to Janus Spectrum – 11-29-12	Witness: R. Gibson Date: 2/8/22 Admitted: yes
311B	Janus Spectrum Group, LLC Packet to Janus Spectrum – 2-14-13	Witness: R. Gibson Date: 2/8/22 Admitted: yes
311C	Janus Spectrum Group, LLC Packet to Janus Spectrum – 3-5-13	Witness: R. Gibson Date: 2/8/22 Admitted: yes
311D	Janus Spectrum Group, LLC Packet to Janus Spectrum – 3-18-13	Witness: R. Gibson Date: 2/8/22 Admitted: yes
311E	Janus Spectrum Group, LLC Packet to Janus Spectrum – 3-27-13	Witness: R. Gibson Date: 2/8/22 Admitted: yes
311F	Janus Spectrum Group, LLC Packet to Janus Spectrum – 4-2-13	Witness: R. Gibson Date: 2/8/22 Admitted: yes
311G	Janus Spectrum Group, LLC Packet to Janus Spectrum – 4-16-13	Witness: R. Gibson Date: 2/8/22 Admitted: yes
311H	Janus Spectrum Group, LLC Packet to Janus Spectrum – 5-13-13	Witness: R. Gibson Date: 2/8/22 Admitted: yes
311I	Janus Spectrum Group, LLC Packet to Janus Spectrum – 6-18-13	Witness: R. Gibson Date: 2/8/22 Admitted: yes

311J	Commercialization Agreement – 10-8-2013	Witness: R. Gibson Date: 2/9/22 Admitted: yes
312	Handwritten Chart – Use Of Investment Funds	Witness: R. Gibson Date: 2/8/22 Admitted: yes
313	Investor Binder – Janus Spectrum Investors	Witness: A. Martell Date: 2/10/22 Admitted: yes
313A	Janus Spectrum Group, LLC – Summary Chart Bayport Credit Union Account Ending In 8580	Witness: A. Martell Date: 2/10/22 Admitted: yes
313B	Janus Spectrum, LLC – Bayport Credit Union Account Ending In 8580	Witness: D. Brown Date: 2/3/22 Admitted: yes
314	Gibson’s Janus Spectrum, LLC Spreadsheet	Witness: R. Gibson Date: 2/8/22 Admitted: yes
315A	Spectrum Management, LLC Summary Chart BayPort Credit Union Account Ending In 8618	Witness: Date: Admitted
315B	Spectrum Management, LLC – Bayport Credit Union Account Ending In 8618	Witness: D. Brown Date: 2/3/22 Admitted: yes
316	Janus Spectrum Group Operating Agreement	Witness: R. Gibson Date: 2/8/22 Admitted: yes
316A	Email between Dodson & Alcorn re: Daryl Banks – 3/5/2013	Witness: Date: Admitted
316B	Email between Alcorn, Maerki, Bank re: “Next Check for You” – 3/30/2013	Witness: R. Gibson Date: 2/8/22 Admitted: yes
316C	Letter Agreement between Bank & Alcorn – 3-6-2013	Witness: R. Gibson Date: 2/8/22 Admitted: yes
316D	Email from Alcorn to Gibson re: 2013 Janus Spectrum, LLC Client Retreat – 5-3-2013	Witness: S. Newell Date: 2/7/22 Admitted: yes
317	Alcorn Recording – Salesmen – 3/9/2013 (Disk)	Witness: Date: Admitted
317A	SEC Subpoena to Janus Spectrum Group, LLC – 8/26/13	Witness: R. Gibson Date: 2/8/22 Admitted: yes
318	Alcorn, Bank, and Seabolt Email Re: Commercialization Agreement – October 4, 2013	Witness: Date: Admitted
318A	Maerki Recording to Salesmen – October 21, 2013 (Disk)	Witness: S. Grisson Date: 2/7/22 Admitted: yes
318C	Alcorn Recording – Salesmen (Disk)	Witness: R. Gibson Date: 2/9/22 Admitted: yes

318D	Janus Spectrum, LLC Bankruptcy Filing – 3/3/2014	Witness: S. Newell Date: 2/7/22 Admitted: yes
322	Summit Trust Asset Holders' Report	Witness: Date: Admitted
323	SEC Consent Order: Janus Spectrum Group, LLC	Witness: R. Gibson Date: 2/9/22 Admitted: yes
324	SEC Consent Order: Spectrum Management, LLC	Witness: Date: Admitted
325	Rule 54(b) Judgment Janus Spectrum Group, LLC	Witness: R. Gibson Date: 2/9/22 Admitted: yes
326	Rule 54(b) Judgment Spectrum Management LLC	Witness: Date: Admitted
327	Final Judgment Janus Spectrum Group, LLC	Witness: Date: Admitted
328	Final Judgment Spectrum Management LLC	Witness: Date: Admitted
330	Janus Spectrum Group, LLC – Oculina Bank Account Ending 3194	Witness: R. Gibson Date: 2/9/22 Admitted: yes
331	Janus Spectrum Management, LLC – Oculina Bank Account Ending 3577	Witness: R. Gibson Date: 2/9/22 Admitted: yes
336	Coleman Bazelon Slides	Witness: C. Bazelon Date: 2/14/22 Admitted: DEMONSTRATIVE
337	Email from Tripp Forrest to Alcorn and Moncure re: Application Frequency Numbers – 11-30-2012	Witness: T. Forest Date: 2/17/22 Admitted: yes
400	Email from Alcorn to Bank re: Lincoln Spectrum – 11-3-2013	Witness: R. Gibson Date: 2/8/22 Admitted: yes
400A	Emails between Alcorn and Bank re: Lincoln Spectrum – 11-4-13	Witness: R. Gibson Date: 2/8/22 Admitted: yes
400B	Prime Spectrum, LLC Virginia State Corporation Commission Creation Documents	Witness: R. Gibson Date: 2/8/22 Admitted: yes
401	Prime Spectrum, LLC Bayport Credit Union Bank Account Application and Signature Cards	Witness: D. Brown Date: 2/3/22 Admitted: yes
402	Prime Spectrum Management, LLC Virginia State Corporation Commission Creation Documents	Witness: R. Gibson Date: 2/8/22 Admitted: yes
403	Prime Spectrum Management, LLC Bayport Credit Union Account Application and Signature Cards	Witness: D. Brown Date: 2/3/22 Admitted: yes

404	Prime Spectrum Investment Summary – November 2013	Witness: R. Gibson Date: 2/8/22 Admitted: yes
405	Prime Spectrum Operating Agreement	Witness: R. Gibson Date: 2/8/22 Admitted: yes
406	Aleksic email to Bank and Hudspeth re: Updated Prime Spectrum Offering – December 1, 2014	Witness: T. Sellers Date: 2/15/22 Admitted: yes
407	Gibson's Prime Spectrum Spreadsheet	Witness: R. Gibson Date: 2/9/22 Admitted: yes
408	Summit Trust Asset Holders Report	Witness: T. Sellers Date: 2/15/22 Admitted: yes
409	Prime Spectrum, LLC – Investor Binder	Witness: A. Martell Date: 2/10/22 Admitted: yes
409A	Prime Spectrum, LLC – Summary Chart Bayport Credit Union Account Ending In 5296	Witness: A. Martell Date: 2/10/22 Admitted: yes
409B	Prime Spectrum, LLC – BayPort Credit Union Account Ending In 5296	Witness: D. Brown Date: 2/3/22 Admitted: yes
410A	Prime Spectrum Management, LLC Summary Chart Bayport Credit Union Account Ending In 5300	Witness: Date: Admitted
410B	Prime Spectrum Management, LLC – Bayport Federal Credit Union Bank Account Ending In 5300	Witness: D. Brown Date: 2/3/22 Admitted: yes
411	Prime Spectrum LLC Virginia State Corporation Commission Cancellation Documents	Witness: R. Gibson Date: 2/9/22 Admitted: yes
412	Prime Spectrum Management LLC Virginia State Corporation Commission Cancellation Documents	Witness: Date: Admitted
413	Consent of Prime Spectrum, LLC	Witness: R. Gibson Date: 2/9/22 Admitted: yes
414	Consent of Prime Spectrum Management, LLC	Witness: Date: Admitted
415	Rule 54(b) Judgment Prime Spectrum, LLC	Witness: R. Gibson Date: 2/9/22 Admitted: yes
416	Rule 54(b) Judgment Prime Spectrum Management, LLC	Witness: Date: Admitted
417	Final Judgment Prime Spectrum, LLC	Witness: Date: Admitted
418	Final Judgment Prime Spectrum Management LLC	Witness: Date: Admitted

500	Spectrum 100 LLC Virginia State Corporation Commission Creation Documents	Witness: R. Gibson Date: 2/9/22 Admitted: yes
500A	SEC Subpoena to Kent Maerki – 6/11/2013	Witness: Date: Admitted
500B	SEC Subpoena to David Alcorn – 6/27/2013	Witness: A. Baskin Date: 2/14/22 Admitted: yes
501	Spectrum 100, LLC Bayport Credit Union Bank Account Application and Signature Cards	Witness: D. Brown Date: 2/3/22 Admitted: yes
502	Spectrum 100 Management, LLC Virginia State Corporation Commission Creation Documents	Witness: R. Gibson Date: 2/9/22 Admitted: yes
503	Spectrum 100 Management, LLC Bayport Credit Union Bank Account Application and Signature Cards	Witness: D. Brown Date: 2/3/22 Admitted: yes
504	Original Investment Offering Spectrum 100	Witness: R. Gibson Date: 2/9/22 Admitted: yes
505	Opportunity Alert Spectrum 100	Witness: R. Gibson Date: 2/9/22 Admitted: yes
505A	Email between Bank, Revzon, Seabolt & Gibson re: Janus Spectrum – 7/29/2013	Witness: R. Gibson Date: 2/8/22 Admitted: yes
505B	Email between Baskin and Alcorn – 8/8/2013	Witness: A. Baskin Date: 2/14/22 Admitted: yes
506	Spectrum 100, LLC packet to Janus Spectrum, LLC – 4/18/13	Witness: R. Gibson Date: 2/9/22 Admitted: yes
506A	Spectrum 100, LLC packet to Janus Spectrum, LLC – 5-13-13	Witness: R. Gibson Date: 2/9/22 Admitted: yes
506B	Spectrum 100, LLC packet to Janus Spectrum, LLC – 6-5-13	Witness: R. Gibson Date: 2/9/22 Admitted: yes
506C	Spectrum 100, LLC packet to Janus Spectrum, LLC – 6-18-13	Witness: R. Gibson Date: 2/9/22 Admitted: yes
506D	Spectrum 100, LLC packet to Janus Spectrum, LLC – 7-1-13	Witness: R. Gibson Date: 2/9/22 Admitted: yes
506E	Spectrum 100, LLC packet to Janus Spectrum, LLC – 8-5-13	Witness: R. Gibson Date: 2/9/22 Admitted: yes
506F	Spectrum 100, LLC packet to Janus Spectrum, LLC – 8-29-13	Witness: R. Gibson Date: 2/9/22 Admitted: yes
506G	Spectrum 100, LLC packet to Janus Spectrum, LLC – 10-7-13	Witness: R. Gibson Date: 2/9/22 Admitted: yes

506H	Spectrum 100, LLC Broker Services Agreement with Janus Spectrum – 4-7-13	Witness:R. Gibson Date: 2/9/22 Admitted: yes
506I	Spectrum 100, LLC Broker Services Agreement with Janus Spectrum – 4-23-14	Witness:R. Gibson Date: 2/9/22 Admitted: yes
506J	Spectrum 100, LLC Broker Services Agreement with Janus Spectrum – 8-14	Witness:R. Gibson Date: 2/9/22 Admitted: yes
507	Bank, Seabolt, Gibson, Alcorn Email Re: Commercialization – September 27, 2013	Witness:R. Gibson Date: 2/9/22 Admitted: yes
508	Email between Friedman, Baskin, and Alcorn re: Janus – 10/16/2013	Witness: A. Baskin Date: 2/14/22 Admitted: yes
509	Recording: Bank about Spectrum November 11, 2013 (Disk)	Witness:R. Gibson Date: 2/9/22 Admitted: yes
509A	Email between Baskin and Alcorn – 11/21/2013	Witness: A. Baskin Date: 2/14/22 Admitted: yes
509B	Email between Alcorn, Baskin, Maerki – 11/21/2013	Witness: A. Baskin Date: 2/15/22 Admitted: yes
509C	Email between Alcorn, Griffith, Baskin – 11/25/2013	Witness: A. Baskin Date: 2/14/22 Admitted: yes
509D	Email between Baskin, Alcorn – 12/9/2013	Witness: A. Baskin Date: 2/15/22 Admitted: yes
509E	Email between Alcorn and Baskin – 12/12/2013	Witness: A. Baskin Date: 2/15/22 Admitted: yes
509F	Email between Baskin, Alcorn, Friedman, Maerki – 12/13/13	Witness: A. Baskin Date: 2/15/22 Admitted: yes
510	SEC Subpoena – April 24, 2014	Witness:R. Gibson Date: 2/9/22 Admitted: yes
511A	SEC Deposition Daryl Bank – June 11, 2014	Witness: Date: Admitted
511B	SEC Deposition Kent Maerki	Witness: Date: Admitted
511C	SEC Deposition David Alcorn	Witness:R. Gibson Date: 2/9/22 Admitted: yes
511D	Email between Alcorn, Maerki, Tilles – 8/3/2014	Witness: A. Baskin Date: 2/14/22 Admitted: yes
511E	Email between Alcorn and Gray re: Looking Good! – 8-19-14	Witness: Date: Admitted

512	Fair Warning From Sprint – October 21, 2014	Witness: Date: Admitted
513	Investment Offering – Spectrum 100 – December 2014	Witness: Date: Admitted
514	SEC Complaint – April 6, 2015	Witness: S. Newell Date: 2/8/22 Admitted: yes
515	Gibson and Seabolt email with Spectrum 100 Operating Agreement – August 3, 2015	Witness: Date: Admitted
516	Handwritten Chart – Use Of Investment Funds	Witness: R. Gibson Date: 2/9/22 Admitted: yes
517	Spectrum 100, LLC – Investor Binder	Witness: A. Martell Date: 2/10/22 Admitted: yes
517A	Spectrum 100, LLC Summary Chart – Bayport Credit Union Account Ending In 5687	Witness: A. Martell Date: 2/10/22 Admitted: yes
517B	Spectrum 100, LLC – BayPort Credit Union Account Ending In 5687	Witness: D. Brown Date: 2/3/22 Admitted: yes
518A	Spectrum 100 Management LLC Summary Chart BayPort Credit Union Account Ending In 5690	Witness: Date: Admitted
518B	Spectrum 100 Management, LLC – Bayport Credit Union Account Ending In 5690	Witness: D. Brown Date: 2/3/22 Admitted: yes
519	Tom Barnett email to Bank re: Questions – 1/13/14	Witness: Date: Admitted
520	Gibson's Spreadsheet Spectrum 100, LLC	Witness: R. Gibson Date: 2/9/22 Admitted: yes
521	Summit Trust Asset Holders Report – April 10, 2015	Witness: Date: Admitted
523	Spectrum 100, LLC – Oculina Bank Account Ending In 3054	Witness: R. Gibson Date: 2/9/22 Admitted: yes
523A	Summary Chart – Spectrum 100, LLC Oculina Bank Account Ending In 3054	Witness: A. Martell Date: 2/10/22 Admitted: yes
525	Spectrum 100 Management LLC – Oculina Bank Account Ending In 3038	Witness: R. Gibson Date: 2/9/22 Admitted: yes
525A	Spectrum 100 Management, LLC – Oculina Bank Account Ending In 3038	Witness: Date: Admitted
526A	SEC Deposition Daryl Bank – February 17, 2016	Witness: Date: Admitted

526B	SEC Deposition Kent Maerki	Witness: Date: Admitted
526C	SEC Deposition David Alcorn	Witness:R. Gibson Date: 2/9/22 Admitted: yes
527	SEC Consent: Spectrum 100 LLC	Witness:R. Gibson Date: 2/9/22 Admitted: yes
528	SEC Consent: Spectrum 100 Management, LLC	Witness: Date: Admitted
529	Rule 54(b) Judgment Spectrum 100, LLC	Witness:R. Gibson Date: 2/9/22 Admitted: yes
530	Rule 54(b) Judgment Spectrum 100 Management, LLC	Witness: Date: Admitted
531	Final Judgment Spectrum 100, LLC	Witness: Date: Admitted
532	Final Judgment Spectrum 100 Management LLC	Witness: Date: Admitted
536	Summary Chart – Adan Rangel	Witness: Date: Admitted
537	Summary Chart – Ray Martin (Spectrum 100)	Witness: Date: Admitted
538	Summary Chart – Carol Groff (Spectrum 100)	Witness: A. Martell Date: 2/10/22 Admitted: yes
539	Summary Chart – Rose Smith (Spectrum 100)	Witness: Date: Admitted
540	Summary Chart – Raeleen Gastman (Spectrum 100)	Witness: Date: Admitted
541	Summary Chart – Darcy Oliver I (Spectrum 100)	Witness: Date: Admitted
542	Summary Chart – Darcy Oliver II (Spectrum 100)	Witness: Date: Admitted
543	Summary Chart – Nicholas Gentile I (Spectrum 100)	Witness: A. Martell Date: 2/10/22 Admitted: yes
544	Summary Chart – Nicholas Gentile II (Spectrum 100)	Witness: A. Martell Date: 2/10/22 Admitted: yes
545	Summary Chart – Nicholas Gentile III (Spectrum 100)	Witness: A. Martell Date: 2/10/22 Admitted: yes

600	Email from Peter Lewis to Maerki and Alcorn re: Rapidlink – 3-16-2015	Witness: P. Lewis Date: 2/17/22 Admitted: yes
600A	Email from Bob Labine to Alcorn and Bank re: SEC help – 11/2/2015	Witness:R. Gibson Date: 2/9/22 Admitted: yes
600B	Emails between Bob Labine and David Alcorn – 12/29/2015	Witness:R. Gibson Date: 2/9/22 Admitted: yes
600C	Email from Bob Labine to Alcorn and Bank re: RapidLink Term Sheet – 1-2-2016	Witness:R. Gibson Date: 2/9/22 Admitted: yes
600D	Email between Alcorn and Labine and Bank	Witness:R. Gibson Date: 2/9/22 Admitted: yes
600E	Email from Moss to Alcorn, Bank, Gibson re: Marketing Materials for Xcel Bandwidth – 3/14/2016	Witness:R. Gibson Date: 2/9/22 Admitted: yes
601	Diversified Financing, LLC Florida Department of State, Division of Corporations	Witness:R. Gibson Date: 2/9/22 Admitted: yes
604	Diversified Financing LLC – BayPort Credit Union Bank Account Ending In 9505	Witness:D. Brown Date: 2/3/22 Admitted: yes
604A	Accelerator Management, LLC – BayPort Credit Union Ending In 9684	Witness:D. Brown Date: 2/3/22 Admitted: yes
608	Diversified Financing, LLC – Oculina Bank Account Ending In 3291	Witness:R. Gibson Date: 2/9/22 Admitted: yes
614	Xcel Bandwith, LLC Florida Department of State, Division of Corporations	Witness:R. Gibson Date: 2/9/22 Admitted: yes
615	Accelerator Management, LLC Florida Department of State, Division of Corporations	Witness:R. Gibson Date: 2/9/22 Admitted: yes
616	Diversified Financing LLC FBO Xcel Bandwidth, LLC – Oculina Bank Account Ending In 3747	Witness:R. Gibson Date: 2/9/22 Admitted: yes
617	Xcel Bandwidth, LLC – Oculina Bank Account Ending In 7718	Witness:R. Gibson Date: 2/9/22 Admitted: yes
618	Accelerator Management LLC – Oculina Bank Account 3216	Witness:R. Gibson Date: 2/9/22 Admitted: yes
619	Xcel Bandwidth PowerPoint	Witness:R. Gibson Date: 2/9/22 Admitted: yes
620	Operating Agreement of Xcel Bandwidth Two	Witness:R. Gibson Date: 2/9/22 Admitted: yes
621	Xcel Bandwidth Member Loan Agreement	Witness:R. Gibson Date: 2/9/22 Admitted: yes

622	Direct Deposit Agreement – Constance Flamming	Witness: Date: Admitted
623	Dominion Business Brokers – Buyer Agreement	Witness: R. Gibson Date: 2/9/22 Admitted: yes
624	Summary Chart – Diversified Financing, FBO Excel Bandwidth Oculina Account 3747	Witness: A. Martell Date: 2/10/22 Admitted: yes
625	Summary Chart – Xcel Bandwidth Oculina Account 7718	Witness: A. Martell Date: 2/10/22 Admitted: yes
626	Summary Chart – Accelerator Management Oculina Bank Account 3216	Witness: Date: Admitted
629	Gibson’s Spreadsheets Xcel Bandwidth	Witness: R. Gibson Date: 2/9/22 Admitted: yes
631	Xcel Bandwidth Term Sheet	Witness: Date: Admitted
632	RapidLink Term Sheet	Witness: Date: Admitted
633	Xcel Bandwidth One LLC Operating Agreement	Witness: R. Gibson Date: 2/9/22 Admitted: yes
634	Xcel Bandwidth One Membership Interest and Loan	Witness: R. Gibson Date: 2/9/22 Admitted: yes
635	Gibson Spreadsheet Excel Bandwidth Business Brokerage	Witness: R. Gibson Date: 2/9/22 Admitted: yes
636	Stephcourt invoices	Witness: R. Gibson Date: 2/9/22 Admitted: yes
637	Collateralized Fixed Asset Planning – A.W. Smith & Associates	Witness: J. Kurtz Date: 2/7/22 Admitted yes
638	Operating Agreement of Xcel Bandwidth One, LLC	Witness: J. Kurtz Date: 2/7/22 Admitted yes
639	Member Loan Agreement – Xcel Bandwidth One, LLC	Witness: J. Kurtz Date: 2/7/22 Admitted yes
640	Dom Business Brokers Buyer Agreement	Witness: J. Kurtz Date: 2/7/22 Admitted yes
641	Alliance Trust Company – Account Statement	Witness: Date: Admitted
700	Economic Market Areas (Newell)	Witness: S. Newell Date: 2/7/22 Admitted: yes

700A	Spectrum Operating Values Spreadsheet (Newell)	Witness: S. Newell Date: 2/7/22 Admitted: yes
701	Alcorn email to Susan Newell re: Application Services Agreement - November 29, 2011	Witness: S. Newell Date: 2/7/22 Admitted: yes
702	Email chain re: Side Agreement – November 30, 2011	Witness: S. Newell Date: 2/7/22 Admitted: yes
702A	Application Services Agreement – November 28, 2011	Witness: S. Newell Date: 2/7/22 Admitted: yes
703	Summary of Investors – Air Apparent	Witness: S. Newell Date: 2/7/22 Admitted: yes
704	Alcorn email re: NYC, Chicago, LA – June 10, 2012	Witness: S. Newell Date: 2/7/22 Admitted: yes
705	Alcorn email chain re: New Application Services Agreement – December 15, 2012	Witness: S. Newell Date: 2/7/22 Admitted: yes
706	Email from Craig Newell to Alcorn and Alcorn's response – 3/5/2013	Witness: Date: Admitted
707	Email from Alcorn to Susan Newell re: Janus Spectrum, LLC – 7/18/13	Witness: S. Newell Date: 2/7/22 Admitted: yes
708	Alcorn email to Susan Newell re: Commercialization Agreement – 9/27/13	Witness: S. Newell Date: 2/7/22 Admitted: yes
709	Commercialization Agreement – 10/1/13	Witness: S. Newell Date: 2/7/22 Admitted: yes
710	Email from Susan Newell re: Yesterday's Call – 10/8/13	Witness: Date: Admitted
711	Email from Susan Newell to D. Alcorn re: Amendment to Commercialization Agreement – 2/17/14	Witness: S. Newell Date: 2/7/22 Admitted: yes
712	Newell email re: Call with David Alcorn – 2/17/14	Witness: S. Newell Date: 2/7/22 Admitted: yes
713	Newell – Notes from Janus Workshop – 6/24/14	Witness: S. Newell Date: 2/7/22 Admitted: yes
714	Alcorn email re: Janus Client Workshop – 7/1/14	Witness: S. Newell Date: 2/8/22 Admitted: yes
715	Craig Newell email to AirApparent – 7/10/14	Witness: Date: Admitted
716	George Cushman email re: AirApparent – 7/15/14	Witness: G. Cushman Date: 2/10/22 Admitted: yes

717	George Cushman email re: request for a meeting – 7/20/14	Witness: G. Cushman Date: 2/10/22 Admitted: yes
718	George Cushman and Alcorn email 9/9/14	Witness: G. Cushman Date: 2/10/22 Admitted: yes
719	Email between Alcorn and Peter Lewis – 9/9/14	Witness: S. Newell Date: 2/8/22 Admitted: yes
719A	Email between Craig Newell and David Alcorn re: Scottdale trip – 8/25/14	Witness: Date: Admitted
720	Craig Newell email to Alcorn – 5/14/15	Witness: S. Newell Date: 2/8/22 Admitted: yes
722	Susan Newell email to investors – 6/16/15	Witness: Date: Admitted
723	Emails between Craig Newell and Alcorn – 6/19/15	Witness: S. Newell Date: 2/8/22 Admitted: yes
724	Email from Craig Newell to Alcorn and Maerki – 6/25/15	Witness: Date: Admitted
725	Email from Susan Newell to Alcorn and Maerki – 6/26/15	Witness: S. Newell Date: 2/8/22 Admitted: yes
726	Six Cities Associates, LLC – Alcorn Letter	Witness: S. Newell Date: 2/7/22 Admitted: yes
727	Emails between Maerki, Alcorn & Palmieri re: Janus Push – 11/5/12	Witness: Date: Admitted
730	Email from Sellers to Alcorn re: from Tony – 5-27-13	Witness: T. Sellers Date: 2/15/22 Admitted: yes
731	Emails between Sellers and Alcorn re: document costs – 5-31-13	Witness: T. Sellers Date: 2/15/22 Admitted: yes
732	Common Trust Fund Agreement – 6/10/13	Witness: Date: Admitted
733	Organizational Resolutions of Managers – 6-10-13	Witness: R. Clark (vid dep) Date: 2/3/22 Admitted: yes
734	Lincoln Spectrum List of Members – 3-26-14	Witness: Date: Admitted
735	Operating Agreement of Lincoln Spectrum, LLC – 6-6-2013	Witness: T. Sellers Date: 2/15/22 Admitted: yes
736	Broker Services Agreement Lincoln Spectrum & Janus Spectrum, LLC	Witness: T. Sellers Date: 2/15/22 Admitted: yes

737	Lincoln Spectrum, LLC Offering Document	Witness: T. Sellers Date: 2/15/22 Admitted: yes
738	Letter from Sellers to Clients – 12-12-16	Witness: T. Sellers Date: 2/15/22 Admitted: yes
739	Email from David Alcorn to Debbie Mendenhall – 8/18/13	Witness: Date: Admitted
740	Email from Alcorn to Maerki re: Oakdale Capital – 2-28-12	Witness: Date: Admitted
741	Alcorn email to Petrossi – 1-10-12	Witness: Date: Admitted
742	Emails between Dodson and Alcorn re: Expectrum 11, LLC – 10/5/2012	Witness: Date: Admitted
750	Email from Alcorn re: Western Spectrum – 1/24/14	Witness: Date: Admitted
801	Letter from Lynne Shelton to Sylvester Hullum – June 7, 2011	Witness: Date: Admitted
802	IRA Services Authorization – Hullum Practice Management	Witness: V. Hullum Date: 2/4/22 Admitted: yes
803	Customer Appreciation Checks – Hullum	Witness: Date: Admitted
804	DSPF Franchise Agreement – Hullum	Witness: Date: Admitted
805	Emails between Phillip Yee and Barnett – May 17, 2013	Witness: P. Yee Date: 2/4/22 Admitted: yes
807	Michelle Yee Asset Purchase Agreement – DSPF Group – May 17, 2013	Witness: P. Yee Date: 2/4/22 Admitted: yes
808	DSPF Group, LLC Operating Agreement	Witness: P. Yee Date: 2/4/22 Admitted: yes
809	Emails between Phillip Yee and Barnett- February 4, 2014	Witness: P. Yee Date: 2/4/22 Admitted: yes
810	Emails between Phillip Yee and Barnett – September 10, 2014	Witness: P. Yee Date: 2/4/22 Admitted: yes
811	Emails between Phillip Yee and Barnett – September 10, 2014	Witness: Date: Admitted
812	Emails between Phillip Yee and Barnett – September 10, 2014	Witness: P. Yee Date: 2/4/22 Admitted: yes

813	Michelle Yee Asset Purchase Agreement v2 – DSPF Group– May 17, 2013	Witness: P. Yee Date: 2/4/22 Admitted: yes
814	DSPF Group, LLC Operating Agreement v2	Witness: P. Yee Date: 2/4/22 Admitted: yes
815	Xcel Bandwidth Two Member Loan – Bean	Witness: S. Bean Date: 2/16/22 Admitted: yes
816	Xcel Bandwidth Buyer Agreement – Bean	Witness: Date: Admitted
817	Xcel Bandwidth Two Operating Agreement – Bean	Witness: S. Bean Date: 2/16/22 Admitted: yes
818	BayPort Credit Union – \$25,000 Wire Transfer to Xcel Bandwidth from Sharyon Bean	Witness: S. Bean Date: 2/16/22 Admitted: yes
819	Provident Trust Account Statement – October 31, 2017	Witness: S. Bean Date: 2/16/22 Admitted: yes
820	Schedule A – Income Plan for Sharyon Bean	Witness: S. Bean Date: 2/16/22 Admitted: yes
821	Provident Trust Account Statement – December 31, 2018	Witness: S. Bean Date: 2/16/22 Admitted: yes
822	Provident Trust Invoice	Witness: Date: Admitted
823	Notice to File Proof of Claim – Smith Bankruptcy	Witness: S. Bean Date: 2/16/22 Admitted: yes
824	Provident Trust Account Statement – December 31, 2019	Witness: S. Bean Date: 2/16/22 Admitted: yes
825	Provident Trust Account Statement – September 30, 2020	Witness: Date: Admitted
826	Email between Smith and Ken Sykes – December 14, 2015	Witness: K. Sykes Date: 2/15/22 Admitted: yes
827	Email between Smith and Sykes – December 14, 2015	Witness: K. Sykes Date: 2/15/22 Admitted: yes
828	Email between Kennedy and Sykes – December 14, 2015	Witness: K. Sykes Date: 2/15/22 Admitted: yes
829	Email between Smith and Sykes – March 17, 2016	Witness: Date: Admitted
830	Email between Smith and Sykes – April 7, 2016	Witness: K. Sykes Date: 2/15/22 Admitted: yes

831	IRA Services Investment Authorization – Sykes	Witness: K. Sykes Date: 2/15/22 Admitted: yes
832	IRA Services Representative Authorization – Sykes	Witness: K. Sykes Date: 2/15/22 Admitted: yes
833	Xcel Bandwidth Buyer Agreement – Sykes	Witness: K. Sykes Date: 2/15/22 Admitted: yes
834	Xcel Bandwidth Two Business Term Sheet – Sykes	Witness: Date: Admitted
835	Xcel Bandwidth Two Operating Agreement – Sykes	Witness: K. Sykes Date: 2/15/22 Admitted: yes
836	Xcel Bandwidth Two Member Loan – Sykes	Witness: Date: Admitted
837	Xcel Bandwidth Two Operating Agreement - Jeffrey Browne	Witness: Date: Admitted
838	IRA Services Transfer Authorization - Jeffrey Browne	Witness: Date: Admitted
839	Letter from Jeffrey Browne to IRA Services	Witness: Date: Admitted
840	Xcel Bandwidth Buyer Agreement – Jeffrey Browne	Witness: J. Browne Date: 2/14/22 Admitted: yes
841	Xcel Bandwidth Two Member Loan – Jeffrey Browne	Witness: Date: Admitted
842	Annuity Suitability Form – Carol Groff	Witness: Date: Admitted
843	Schedule A Income Plan for Carol Groff	Witness: Date: Admitted
844	Pension Income Plan for Carol Groff	Witness: Date: Admitted
845	Carol Groff – Dominion Investor Packet	Witness: C. Groff Date: 2/8/22 Admitted: yes
846	Email from Gibson to Swabb re: Bill Smith Direct Dep. – 10/28/2013	Witness: Date: Admitted
847	Summit Trust Statement – Carol Groff	Witness: C. Groff Date: 2/8/22 Admitted: yes
848	DSPF Request for Consideration – Bill Eads	Witness: Date: Admitted

849	DSPF Receipt to the Disclosure Document – Bill Eads	Witness: R. Eads Date: 2/4/22 Admitted: yes
850	Letter from Lynne Shelton to Bill Eads re: DSPF – March 19, 2013	Witness: Date: Admitted
851	Commission Check to Smith re: Bill Eads	Witness: Date: Admitted
852	Carol Groff v. Smith Complaint – October 22, 2015	Witness: Date: Admitted
853	Spectrum 100 Operating Agreement – Wilfried Berndt	Witness: Date: Admitted
854	Check to Summit Trust Company – Wilfried Berndt	Witness: Date: Admitted
855	Wilfried Berndt Asset Purchase Agreement - Spectrum 100 -	Witness: W. Berndt Date: 2/7/22 Admitted: yes
856	DSPF Request for Consideration – Raymond Martin	Witness: Date: Admitted
857	DSPF Franchise Disclosure Receipt – Raymond Martin	Witness: Date: Admitted
7A	Cash Flow Analysis – Raymond Martin	Witness: Date: Admitted
858	Email between Raymond Martin and Gibson re: Spectrum 100 – June 8, 2013	Witness: R. Martin Date: 2/4/22 Admitted: yes
859	Bayport Credit Union – Wire Transfer to Spectrum 100 from Raymond Martin – June 18, 2013	Witness: Date: Admitted
860	Audio Recording: “Money Talks” with Bill Smith (Disk)	Witness: J. Browne Date: 2/14/22 Admitted: yes
861	Adan Rangel Check to DSPF – September 27, 2012	Witness: Date: Admitted
862	Bayport Credit Union – \$83,487 Wire Transfer to DSPF from Adan Rangel	Witness: Date: Admitted
863	Bayport Credit Union – \$87,000 Wire Transfer to Spectrum 100 from Adan Rangel	Witness: D. Brown Date: 2/3/22 Admitted: yes
864	Summit Trust Statement – Adan Rangel	Witness: Date: Admitted
877	Nicholas Gentile Asset Purchase Agreement – Spectrum 100 – March 12, 2014	Witness: N. Gentile Date: 2/10/22 Admitted: yes

878	Bayport Credit Union – \$78,000 Wire Transfer from Nicholas Gentile to Spectrum 100	Witness: D. Brown Date: 2/3/22 Admitted: yes
879	Nicholas Gentile Asset Purchase Agreement – Spectrum 100 – July 21, 2014	Witness: N. Gentile Date: 2/10/22 Admitted: yes
883	Email from Gibson re: Payment to Bill Smith – November 24, 2013	Witness: Date: Admitted
884	Email from Gibson re: Payment to Bill Smith – December 12, 2013	Witness: Date: Admitted
887	Nicholas Gentile Asset Purchase Agreement – Spectrum 100 – June 19, 2015	Witness: N. Gentile Date: 2/10/22 Admitted: yes
888	Check from Craig and Raelene Gastman for \$100,363 – March 17, 2014	Witness: Date: Admitted
889	Craig and Raelene Gastman Asset Purchase Agreement – Spectrum 100 – April 4, 2014	Witness: Date: Admitted
890	Bayport Credit Union – \$108,000 Wire from Craig and Raelene Gastman and B.P. – April 14, 2014	Witness: D. Brown Date: 2/3/22 Admitted: yes
891	Check from Lawrence Lyon to Xcel Bandwidth – February 22, 2017	Witness: L. Lyon Date: 2/15/22 Admitted: yes
892	Check from Xcel Bandwidth for Bill Smith Commission	Witness: Date: Admitted
893	Income Plan – Constance Flammang	Witness: Date: Admitted
894	Check from Constance Flammang to Xcel Bandwidth – April 12, 2017	Witness: Date: Admitted
895	Check from Constance Flammang to Provident Trust – August 24, 2017	Witness: Date: Admitted
896	Agent Authorization Form – Constance Flammang	Witness: Date: Admitted
897	Rose Smith Asset Purchase Agreement – Spectrum 100 – November 21, 2013	Witness: Date: Admitted
898	Letter from Shelton & Power, LLC dated 7/7/15 re: Our Client: Western Spectrum Ventures II, LLC	Witness: J. Browne Date: 2/14/22 Admitted: yes
899	Operating Agreement of Browne Spectrum Ventures LLC	Witness: J. Browne Date: 2/14/22 Admitted: yes
900	Texas Certificate of Formation Limited Liability Company, filing entity Western Spectrum Ventures 2, LLC, filing 1/27/15	Witness: J. Browne Date: 2/14/22 Admitted: yes

901	Teas Franchise Tax Public Information Report, year 2017	Witness: J. Browne Date: 2/14/22 Admitted: yes
902	Check from Browne Spectrum Ventures LLC to Shelton & Power LLC for \$108,000, dated 8/6/15	Witness: J. Browne Date: 2/14/22 Admitted: yes
903	Deposit Agreement between Western spectrum Ventures, LLC and Jeff Browne, dated 5/4/16	Witness: J. Browne Date: 2/14/22 Admitted: yes
904	Common Trust Agreement Western Spectrum Ventures II, LLC (revised effective 2/13/15)	Witness: J. Browne Date: 2/14/22 Admitted: yes
907	Texas Certificate of Amendment dated 7/5/13, filing entity: Smith Spectrum LLC to Western Spectrum Ventures, LLC	Witness: J. Browne Date: 2/14/22 Admitted: yes
908	Texas Franchise Tax Public Information Report, year 2016	Witness: J. Browne Date: 2/14/22 Admitted: yes
1001A	Signature Cards – Dental Support Plus Franchise, LLC – Wells Fargo Account Ending In 4423	Witness: D. Brown Date: 2/3/22 Admitted: yes
1001B	Summary Chart – Wells Fargo Account Ending In 4423	Witness: A. Martell Date: 2/10/22 Admitted: yes
1001C	Dental Support Plus Franchise, LLC – Wells Fargo Account Ending In 4423	Witness: A. Tottosy Date: 2/3/22 Admitted: yes
1001I	Dental Support Group, LLC – General Ledger (July 1, 2012 – June 13, 2013)	Witness: Date: Admitted
1002A	Signature Cards – Janus Spectrum, LLC – Wells Fargo Account Ending In 7384	Witness: D. Brown Date: 2/3/22 Admitted: yes
1002B	Summary Chart – Wells Fargo Bank Account Ending In 7384	Witness: A. Martell Date: 2/10/22 Admitted: yes
1002C	Janus Spectrum, LLC – Wells Fargo Checking Account Ending In 7384	Witness: D. Brown Date: 2/3/22 Admitted: yes
1002D	Signature Cards – Janus Spectrum, LLC – Wells Fargo Account Ending In 5290	Witness: D. Brown Date: 2/3/22 Admitted: yes
1002E	Summary Chart – Wells Fargo Bank Account Ending In 5290	Witness: A. Martell Date: 2/10/22 Admitted: yes
1002F	Janus Spectrum, LLC – Wells Fargo Checking Account Ending In 5290	Witness: D. Brown Date: 2/3/22 Admitted: yes
1002G	Signature Cards – Janus Spectrum, LLC Debtor in Possession – Arizona Business Bank Account Ending In 4974	Witness: D. Brown Date: 2/3/22 Admitted: yes

1002H	Summary Chart – Janus Spectrum, LLC Debtor in Possession – Arizona Business Bank Account Ending In 4974	Witness: A. Martell Date: 2/10/22 Admitted: yes
1002I	Janus Spectrum, LLC Debtor in Possession – Arizona Business Bank Account Ending In 4974	Witness:D. Brown Date: 2/3/22 Admitted: yes
1002J	Signature Cards – Janus Spectrum, LLC Debtor in Possession – Arizona Business Bank Account Ending In 6142	Witness:D. Brown Date: 2/3/22 Admitted: yes
1002K	Summary Chart – Janus Spectrum, LLC Debtor in Possession – Arizona Business Bank Account Ending In 6142	Witness: A. Martell Date: 2/10/22 Admitted: yes
1002L	Janus Spectrum, LLC Debtor in Possession – Arizona Business Bank Account Ending In 6142	Witness:D. Brown Date: 2/3/22 Admitted: yes
1002M	Summary Chart – Janus Spectrum, LLC – Bank of Kansas Bank Account Ending In 1778	Witness: A. Martell Date: 2/10/22 Admitted: yes
1002N	Janus Spectrum, LLC Debtor in Possession –Bank of Kansas Bank Account Ending In 1778	Witness:D. Brown Date: 2/3/22 Admitted: yes
1002O	Summary Chart – Janus Spectrum PMA – First Citizens Bank Account Ending In 0990	Witness: A. Martell Date: 2/10/22 Admitted: yes
1002P	Janus Spectrum PMA – First Citizens Bank Account Ending In 0990	Witness:D. Brown Date: 2/3/22 Admitted: yes
1002Q	Email between Alcorn, Coffin, and Maerki – February and March 2013	Witness: S. Grisson Date: 2/7/22 Admitted: yes
1002R	Email between Alcorn and Maerki re: Janus Funds – 10/7/2012	Witness: S. Grisson Date: 2/7/22 Admitted: yes
1002S	Email between Alcorn and Maerki – 2/6/13	Witness: S. Grisson Date: 2/7/22 Admitted: yes
1002T	Janus Spectrum, LLC Website – 8/6/2013	Witness: Date: Admitted
1005	Email dated August 9, 2014 from Maerki to Andrea and Joe Tottosy re: State of DSPF	Witness: A. Tottosy Date: 2/3/22 Admitted: yes
1005A	BayPort Credit Union – Wire Transfers to DSPF from Tottosys	Witness: Date: Admitted
1005B	Email between Andrea Tottosy and Roger Hudspeth dated March 7, 2014	Witness: Date: Admitted
1005C	Email between Andrea Tottosy and Raeann Gibson re: Janus dated August 17, 2013	Witness: A. Tottosy Date: 2/3/22 Admitted: yes

1007A	April 4, 2014 Check to Transfer to Tony Sellers	Witness:D. Brown Date: 2/3/22 Admitted: yes
1008A	Intuit Record documenting wire transfer from DPCG to Bill Smith	Witness:D. Brown Date: 2/3/22 Admitted: yes
1009A	Intuit Record documenting wire transfer from DPCG to Bill Smith	Witness:D. Brown Date: 2/3/22 Admitted: yes
1010A	Intuit Record documenting wire transfer from DPCG to Tom Barnett	Witness:D. Brown Date: 2/3/22 Admitted: yes
1011A	Intuit Record documenting wire transfer from DPCG to December 31, 2014 Wire Transfer To T. Barnett	Witness:D. Brown Date: 2/3/22 Admitted: yes
1012A	BayPort Credit Union Wire Transfer dated April 8, 2014 from Prime Spectrum, LLC to Janus Spectrum, LLC	Witness:D. Brown Date: 2/3/22 Admitted: yes
1013	BayPort Credit Union Wire Transfer dated April 8, 2014 from Spectrum 100, LLC to Janus Spectrum, LLC	Witness:D. Brown Date: 2/3/22 Admitted: yes
1014	BayPort Credit Union Wire Transfer dated April 24, 2014 from Spectrum 100, LLC to Janus Spectrum, LLC	Witness:D. Brown Date: 2/3/22 Admitted: yes
1015	BayPort Credit Union Wire Transfer dated May 15, 2014 from Spectrum 100, LLC to Janus Spectrum, LLC	Witness:D. Brown Date: 2/3/22 Admitted: yes
1016A	Audio Recording: Conference call with sales people – April 7, 2014 (Disk)	Witness: S. Grisson Date: 2/7/22 Admitted: yes
1017A	Audio Recording: Conference call with sales people – May 19, 2014 (Disk)	Witness: S. Grisson Date: 2/7/22 Admitted: yes
1018V	Summary Chart NJM Spectrum, LLC Wells Fargo Bank Checking Account 5704 8/29/2012 – 8/19/2014 Net Deposits – Account Activity \$2,612,410.74	Witness: J. Palmieri Date: 2/16/22 Admitted: yes
1018W	NJM Bank Statements, deposits and withdrawals	Witness: J. Palmieri Date: 2/16/22 Admitted: yes
1019S	PECS Management, LLC – Nevada Docs	Witness: Date: Admitted
1019T	Summary Chart – PECS Management, LLC – JP Morgan Bank Account Ending In 7179	Witness: A. Martell Date: 2/10/22 Admitted: yes
1019U	PECS Management, LLC – JP Morgan Bank Account Ending In 7179	Witness:D. Brown Date: 2/3/22 Admitted: yes
1019V	Signature Cards – PECS Management, LLC – JP Morgan Bank Account Ending In 7080	Witness: A. Martell Date: 2/10/22 Admitted: yes

1019W	Summary Chart – PECS Management, LLC – JP Morgan Bank Account Ending In 7080	Witness: A. Martell Date: 2/10/22 Admitted: yes
1019X	PECS Management, LLC – JP Morgan Bank Account Ending In 7080	Witness:D. Brown Date: 2/3/22 Admitted: yes
1019Y	Signature Cards – PECS Management, LLC – Wells Fargo Bank Account Ending In 4870	Witness: A. Martell Date: 2/10/22 Admitted: yes
1019Z	Summary Chart – PECS Management, LLC – Wells Fargo Bank Account Ending In 4870	Witness: A. Martell Date: 2/10/22 Admitted: yes
1020A	PECS Management, LLC – Wells Fargo Bank Account Ending In 4870	Witness:D. Brown Date: 2/3/22 Admitted: yes
1020B	PECS Management – American Express Account Statements 2-12-2012 – 5-13-2016	Witness: A. Martell Date: 2/10/22 Admitted: yes
1020C	Kent Maerki Personal Account – Signature Card – Wells Fargo Bank Account Ending in 8609	Witness:D. Brown Date: 2/3/22 Admitted: yes
1020D	Summary Chart – Kent Maerki Personal Account – Wells Fargo Bank Account Ending in 8609	Witness: Date: Admitted
1020E	Kent Maerki Personal Account – Wells Fargo Bank Account Ending in 8609	Witness:D. Brown Date: 2/3/22 Admitted: yes
1020F	Bankruptcy Filing – Kent Maerki & Norma Coffin	Witness: Date: Admitted
1020G	Summary Chart – Payments to American Express from PECS Management JP Morgan 7179	Witness: A. Martell Date: 2/10/22 Admitted: yes
1020H	Summary Chart – PECS Management JP Morgan 7080	Witness: A. Martell Date: 2/10/22 Admitted: yes
1020I	Pictures of Norma Coffin and Kent Maerki's Home	Witness: S. Grisson Date: 2/7/22 Admitted: yes
1020J	Kent Maerki – 2014 Tax Return	Witness: Date: Admitted
1020L	Summary Chart – American Express Card Net Account Activity	Witness: Date: Admitted
1020M	Summary Chart – American Express Card Coffin Charges by Quarter	Witness: Date: Admitted
1020N	Summary Chart – Nordstrom Purchases	Witness: Date: Admitted
1020O	Summary Chart – Neiman Marcus Purchases	Witness: Date: Admitted

1020P	Summary Chart – High Society Boutique Purchases	Witness: Date: Admitted
1020Q	Summary Chart – Rue La La Purchases	Witness: Date: Admitted
1020R	Summary Chart – Polo Ralph Lauren purchases	Witness: Date: Admitted
1020S	Summary Chart – London Gold	Witness: Date: Admitted
1020T	Summary Chart – Dambrosio Salon	Witness: Date: Admitted
1020U	Summary Chart – Beauty/Cosmetics	Witness: Date: Admitted
1100	Summary Chart – Payments from Janus Spectrum, LLC to David Alcorn	Witness: A. Martell Date: 2/10/22 Admitted: yes
1101	Summary Chart – David Alcorn Professional Corporation Wells Fargo 7417	Witness: A. Martell Date: 2/10/22 Admitted: yes
1102	David Alcorn Professional Corporation – Wells Fargo Bank Account Ending In 7417	Witness:D. Brown Date: 2/3/22 Admitted: yes
1103	Summary Chart – David Alcorn Professional Corporation – Wells Fargo Savings Account 8435	Witness: A. Martell Date: 2/10/22 Admitted: yes
1104	David Alcorn Professional Corporation – Wells Fargo Savings Account Ending In 8435	Witness:D. Brown Date: 2/3/22 Admitted: yes
1105	Summary Chart – David & Elizabeth Alcorn Wells Fargo Savings Account 1474	Witness: A. Martell Date: 2/10/22 Admitted: yes
1106	Summary Chart – David & Elizabeth Alcorn Wells Fargo Checking Account 6604	Witness: A. Martell Date: 2/10/22 Admitted: yes
1107	David & Elizabeth Alcorn Wells Fargo Check and Savings Accounts Ending in 1474 & 6604	Witness:D. Brown Date: 2/3/22 Admitted: yes
1108	David Albert Alcorn – FBO Janus Spectrum, LLC – Arizona Business Bank Account Ending In 4602	Witness:D. Brown Date: 2/3/22 Admitted: yes
1109	Summary Chart – David Alcorn Professional Corporation Retirement Plan Account 5760	Witness: A. Martell Date: 2/10/22 Admitted: yes
1110	David Alcorn Professional Corporation Retirement Plan – Arizona Business Bank Account Ending In 5760	Witness:D. Brown Date: 2/3/22 Admitted: yes
1111	Cashier's Check dated May 1, 2015 in the amount of \$100,093.05	Witness: A. Martell Date: 2/10/22 Admitted: yes

1112	Summary Chart – Flow of Funds FRGC Properties, LLC	Witness: A. Martell Date: 2/10/22 Admitted: yes
1113	Pictures of 12040 North 133 rd Way, Scottsdale, Arizona 85259	Witness: S. Newell Date: 2/8/22 Admitted: yes
1114	Summary Chart – Flow of Funds for Purchase of 12040 North 133 rd Way, Scottsdale, Arizona 85259	Witness: A. Martell Date: 2/10/22 Admitted: yes
1115	Summary Chart – Brooksam, LLC Account 3854	Witness: A. Martell Date: 2/10/22 Admitted: yes
1116	Brooksam, LLC – Arizona Business Bank Account Ending In 3854	Witness: D. Brown Date: 2/3/22 Admitted: yes
1117	David Alcorn's Charles Schwab Account Ending In 8925 & 9732	Witness: D. Brown Date: 2/3/22 Admitted: yes
1118	Summary Chart – Pacific Capital Advisors Wells Fargo Savings Account 8706	Witness: A. Martell Date: 2/10/22 Admitted: yes
1119	Summary Chart – Pacific Capital Advisors Wells Fargo Checking Account 2414	Witness: Date: Admitted
1120	Pacific Capital Advisors – Wells Fargo Savings and Checking Accounts Ending in 8706 & 2414	Witness: D. Brown Date: 2/3/22 Admitted: yes
1121	Summary Chart – Stephcourt, LLC Arizona Business Bank Account 5689	Witness: A. Martell Date: 2/10/22 Admitted: yes
1122	Stephcourt, LLC – Arizona Business Bank Account Ending In 5689	Witness: D. Brown Date: 2/3/22 Admitted: yes
1123	David Alcorn's Wells Fargo VISA Statements	Witness: A. Martell Date: 2/10/22 Admitted: yes
1124	David Alcorn's 2012 Federal Tax Return	Witness: Date: Admitted
1125	David Alcorn's 2013 Federal Tax Return	Witness: Date: Admitted
1126	David Alcorn's 2014 Federal Tax Return	Witness: Date: Admitted
1127	David Alcorn's 2015 Federal Tax Return	Witness: Date: Admitted
1128	David Alcorn's 2016 Federal Tax Return	Witness: Date: Admitted
1129	Letter from Robert Semple attached to Summary Judgment Response – 7/1/2016	Witness: Date: Admitted

1130	Email from Semple to Alcorn re: Foreclosure Report – 8/15/13	Witness: Date: Admitted
1131	Email from Semple to Alcorn re: Receipt for 12040 N. 133 rd Way – 8/15/13	Witness: Date: Admitted
1132	Email from Semple to Alcorn re: Final Receipt – 8/16/13	Witness: Date: Admitted
1133	Email from Semple to Alcorn re: Title Report – 9/30/2013	Witness: Date: Admitted
1200	Summary Chart – Payments going to Bill Smith	Witness: A. Martell Date: 2/10/22 Admitted: yes
1201	Summary Charts – Payments going to Bill Smith	Witness: A. Martell Date: 2/10/22 Admitted: yes
1202	American Estate & Insurance Services, Inc. – Wells Fargo Bank Account Ending In 6406	Witness:D. Brown Date: 2/3/22 Admitted: yes
1202A	Summary Chart- Wells Fargo Bank Account Ending In 6406	Witness: A. Martell Date: 2/10/22 Admitted: yes
1203	Summary Chart – Wells Fargo Bank account Ending In 7629	Witness: A. Martell Date: 2/10/22 Admitted: yes
1204	American Estate & Insurance Services, Inc. – Wells Fargo Bank Account Ending in 7629	Witness:D. Brown Date: 2/3/22 Admitted: yes
1205	American Estate & Insurance, Services, Inc. – Wells Fargo Bank Account Ending In 4187	Witness:D. Brown Date: 2/3/22 Admitted: yes
1206	Practice Management Ventures, LLC – Wells Fargo Bank Account Ending In 4997	Witness:D. Brown Date: 2/3/22 Admitted: yes
1207	A.W. Smith & Associates – Wells Fargo Bank Accounts Ending In 6340 & 0888	Witness:D. Brown Date: 2/3/22 Admitted: yes
1208	MBP Advisory, LLC – Wells Fargo Bank Account Ending In 7929	Witness:D. Brown Date: 2/3/22 Admitted: yes
1209	White Eagle Family Limited Partnership – Wells Fargo Bank Account Ending In 5951	Witness:D. Brown Date: 2/3/22 Admitted: yes
1210	Aghee W. Smith – Wells Fargo Bank Account Ending In 8998	Witness:D. Brown Date: 2/3/22 Admitted: yes
1211	Summary Chart – Golden 1 Credit Union Account	Witness: A. Martell Date: 2/10/22 Admitted: yes
1212	A William Smith & Susan Smith – Golden 1 Credit Union Account Ending In 0346	Witness:D. Brown Date: 2/3/22 Admitted: yes

1213	Aghee William Smith, III Bankruptcy Petition – 8-13-2019	Witness: R. Martin Date: 2/4/22 Admitted: yes
1214	Aghee Bill Smith's Gradient Application	Witness: R. Gibson Date: 2/9/22 Admitted: yes
1215	Audio Recording: A.W. Smith Bankruptcy Trustee Depositions (Disk)	Witness: J. Browne Date: 2/14/22 Admitted: yes
1216	Video: A.W. Smith Presents Spectrum (Disk)	Witness: J. Browne Date: 2/14/22 Admitted: yes
1217	Photograph of L. Doug Dunn and Aghee William Smith	Witness: L. Dunn Date: 2/9/22 Admitted: yes
2000	Stipulations	Witness: Date: 2/3/22 Admitted: yes
2103	Deposits to account "Because He Lives Trust"	Witness: R. Jones Date: 2/16/22 Admitted: yes
2108	United States Bankruptcy Court / District of Arizona In re Janus Spectrum, LLC Monthly Operating Report, April 2014	Witness: T. Littler Date: 2/16/22 Admitted: yes
2109	United States Bankruptcy Court / District of Arizona In re Janus Spectrum, LLC Monthly Operating Report, May 2014	Witness: T. Littler Date: 2/16/22 Admitted: yes
2111	Membership Fee Offering by: Premier Spectrum Group 2013	Witness: R. Jones Date: 2/16/22 Admitted: yes
2200	Transcript The United States securities and Exchange Commission In the Matter of: Janus Spectrum, LLC Witness: Jon Palmieri, dated 5/16/14	Witness: J. Palmieri Date: 2/16/22 Admitted: M4ID
2202	Email from Jon Palmieri to Kent Maerki re: \$\$\$ dated 3/3/13	Witness: J. Palmieri Date: 2/16/22 Admitted: yes
2211	Excerpt of Trial Transcript – Testimony of Peter Lewis 4/20/21	Witness: P. Lewis Date: 2/17/22 Admitted: M4ID
2218	Email from Peter Lewis to Kent Maerki re: DC Trip, dated 4/15/14	Witness: P. Lewis Date: 2/17/22 Admitted: yes
2219	Email from Peter Lewis to David Alcorn re: Plan Adjustment, dated 2/2/15	Witness: P. Lewis Date: 2/17/22 Admitted: yes
2300	Transcript: In the Matter of Securities and Exchange Commission v. Janus Spectrum LLC, et. al. – Aaron Bobkin, dated 3/16/16	Witness: A. Bobkin Date: 2/16/22 Admitted: M4ID
2301	Business plan	Witness: A. Bobkin Date: 2/16/22 Admitted: yes